

# Stealing Bits from a Quantized Source

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*Abstract* — We analyze the efficiency of source requantization to reduce rate when starting with an arbitrary rate-distortion achieving codebook. In the quadratic-Gaussian case, it is possible to get within 0.5 bits/sample of the rate-distortion bound, i.e., all good codebooks automatically have close to successive-refinement structure. This same performance is achievable when rate is stolen by embedding bits in the source reconstruction.

## I. INTRODUCTION

Consider the scenario depicted in Fig. 1 in which a source is originally encoded at rate  $R_{\text{orig}}$  with distortion  $d_0 = E[D(x^n, \hat{x}^n)/n]$ . If a transcoder subsequently drops the encoding to a residual rate  $R_{\text{res}} < R_{\text{orig}}$ , the distortion in the ultimate reconstruction  $\bar{x}^n$  is increased to  $d > d_0$ . Transcoding is efficient if  $R_{\text{res}}$  and  $d$  still lie on the rate distortion curve. If the source was originally encoded in a successively refinable manner (see, e.g., [3] and the references therein), this is possible by discarding least significant descriptions. We show, however, that near-efficiency is possible even without such a constraint on codebook design.

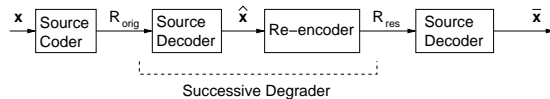


Figure 1: Successive Degradation.

## II. SUCCESSIVE DEGRADATION

For finite-alphabet i.i.d. sources with arbitrary distortion measures, we have that  $R_{\text{res}}(d) = \inf I(\bar{x}; \hat{x})$  where the infimum is over all  $\bar{x}$  such that  $x \leftrightarrow \hat{x} \leftrightarrow \bar{x}$  and  $E[D(x, \bar{x})] \leq d$ .

In the case of an i.i.d. Gaussian source whose elements have variance  $\sigma_x^2$  and a mean-square distortion (MSD) criterion we have the following stronger result: for an arbitrary original source code meeting the rate-distortion bound, the smallest distortion between  $\bar{x}^n$  and  $x^n$  achievable by a transcoder is, in terms of the original distortion  $d_0 = \sigma_x^2 2^{-2R_{\text{orig}}}$ ,

$$d(R_{\text{res}}) = d_0 + (\sigma_x^2 - d_0)2^{-2R_{\text{res}}}, \quad \text{for } R_{\text{res}} < R_{\text{orig}}, \quad (1)$$

which is within 0.5 bits/sample of the rate-distortion function. Note the discontinuity at  $R_{\text{res}} = R_{\text{orig}}$ : to achieve a smaller distortion increment requires time-sharing; see Figure 2.

To show that (1) is achievable for any rate-distortion achieving source code, we first show that  $E[\|\hat{x}^n\|^2/n] \approx \sigma_x^2 - d_0$  for large  $n$ , then analyze the distortion incurred by a Gaussian random re-encoder using [4, Thm. 3]. To establish the converse, we construct an original rate-distortion achieving source code that produces a reconstruction  $\hat{x}^n$  that is indistinguishable from a noisy observation  $y^n$  of the source  $x^n$

This work has been supported in part by NSF Grant No. CCR-0073520 and a grant from Microsoft Research.

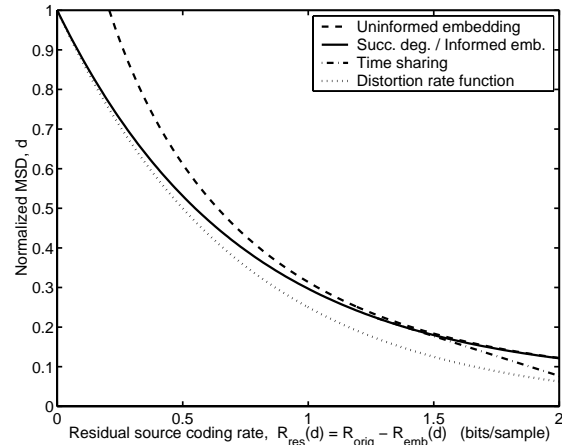


Figure 2: Quadratic-Gaussian bit stealing.

according to some  $p_{y|x}$ . Since the rate-distortion trade-off for this coding scheme is the same as for the problem of quantizing a source given a noisy observation, this codebook does not allow smaller distortions than (1) to be achieved.

## III. EMBEDDING IN A QUANTIZED SOURCE

If the rate of a source is being reduced to accommodate an additional bit stream, one could alternatively reconstruct the source, embed the bit stream of rate  $R_{\text{emb}}$  into the reconstruction in the spirit of [2]—increasing the ultimate distortion to  $d > d_0$ —then requantize to the original codebook. The “effective” residual rate at which the source is encoded is then  $R_{\text{res}}(d) = R_{\text{orig}} - R_{\text{emb}}(d)$ . In contrast to successive degradation, the embedding approach does not require that the source decoder at the destination know  $R_{\text{res}} \leq R_{\text{orig}}$ .

For uniformed embedding,  $R_{\text{res}}(d) = \inf I(\bar{x}; \hat{x})$  as in Section II, but with the addition constraint  $p_{\bar{x}} = p_{\hat{x}}$ , which results from the fact that the decoder is uniformed.

In the quadratic-Gaussian case, we have that  $d(R_{\text{res}}) = 2\sigma_x^2 - d_0 - 2(\sigma_x^2 - d_0)\sqrt{1 - 2^{-2R_{\text{res}}}}$ , which is close to (1) for small  $R_{\text{emb}}$  as depicted in Fig. 2. Furthermore, (1) can be achieved without requiring a new codebook to be generated at the point of embedding; it suffices to use the same codebook and have the source decoder apply simple post-decoding scaling [1].

## REFERENCES

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